

PATENT APPLICATION TRANSMITTAL LETTER

ATTORNEY'S DOCKET NO.

48-120CIP3

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith for filing is the patent application of Lloyd M. Smith, Leroy E. Hood,
Michael W. Hunkapiller, Tim J. Hunkapiller

for AUTOMATED DNA SEQUENCING TECHNIQUE

Enclosed are:

- ☒ 5 sheets of drawing. and 1 sheet of informal drawings.
- ☐ an assignment of the invention to California Institute of Technology
will be submitted
- ☐ a certified copy of a _____ application.
- ☐ associate power of attorney.
- ☐ verified statement to establish small entity status under 37 CFR 1.9 and 1.27.

Declaration and Power of Attorney will be submitted
CLAIMS AS FILED

SMALL ENTITY

OTHER THAN A SMALL ENTITY

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	30 - 20 -	10
INDEP. CLAIMS	12 - 3 -	9
MULTIPLE DEPENDENT CLAIM PRESENT		

RATE	FEE
	\$ 170
x \$ 6 =	\$
x \$ 17 =	\$
+ \$ 55 =	\$
TOTAL	\$

OR

OR

OR

OR

OR

OR

RATE	FEE
	\$ 340
x \$ 12 =	\$ 120
x \$ 34 =	\$ 306
+ \$ 110 =	\$
TOTAL	\$ 766

* If the difference in col. 1 is less than zero, enter "0" in col. 2

- ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____.
- ☐ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 766.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 13-4852. A Duplicate copy of this sheet is enclosed.
 - ☒ Any additional filing fees required under 37 CFR 1.16.
 - ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 13-4852. A duplicate copy of this sheet is enclosed.
 - ☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.
 - ☒ Any patent application processing fees under 37 CFR 1.17.
 - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

Oct. 7, 1987
date

[Signature]
Signature

Reg. No. 20,532

Patent and Trademark Office - U.S. DEPARTMENT OF COMMERCE

Form PTO-FB-A510 (10-85)
(also form PTO-1082)

JOSEPH E. MUETH
700 S. Flower St., Suite 2200
Los Angeles, CA 90017



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

LLOYD M. SMITH, LEROY E. HOOD,
TIM J. HUNKAPILLER, MICHAEL W. HUNKAPILLER

Serial No. _____

Filed: October 7, 1987

For: AUTOMATED DNA SEQUENCING TECHNIQUE

October 7, 1987
Los Angeles, CA

L E T T E R

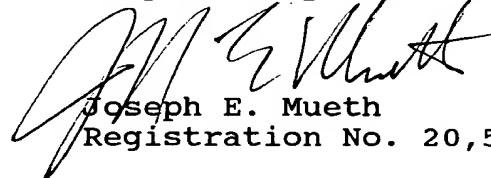
Commissioner of Patents & Trademarks
Washington, D. C. 20231

Sir:

This letter accompanies the filing of the attached patent application which is a continuation-in-part of United States Patent Application Nos. 689,013 and 722,742.

The assignee of the patent application being filed is in receipt of a claim by a third party that one of its employees is an inventor of some or all of the subject matter claimed therein. This claim is being actively investigated and a further and appropriate response will be filed upon completion of the investigation.

Respectfully submitted,


Joseph E. Mueth
Registration No. 20,532

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(213) 688-7408



In re application of:

LLOYD M. SMITH, LEROY E. HOOD, MICHAEL W. HUNKAPILLER
AND TIM J. HUNKAPILLER

Entitled: AUTOMATED DNA SEQUENCING TECHNIQUE

CERTIFICATE OF EXPRESS MAILING PURSUANT TO 37 CFR 1.10

EXPRESS MAIL LABEL NO. B65176560

Date of Deposit: October 7, 1987

I hereby certify that this patent application is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231.

Jean Fleming, Secretary to
Joseph E. Mueth, Reg. #20,532
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October 7, 1987